

5.500 INTERCONNECTION PROCEDURES FOR PROPOSED ELECTRIC GENERATION RESOURCES AND ENERGY STORAGE DEVICES

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5.501 Applicability

- (A) This Rule applies to all proposed interconnections of ~~Generation Resources~~ Projects within the State of Vermont ~~which~~ that are not ~~(i)-lawfully subject to ISO-NE interconnection rules or successor rules approved by FERC, or (ii) subject to the Board's net metering rule (Rule 5.100), for which the interconnection provisions of those rules will govern.~~ This Rule ~~does not apply~~ applies to ~~facilities within the State of Vermont that were interconnected or had obtained all necessary approvals for interconnection with electric power transmission or distribution systems prior to 60 business days~~ Applications filed on or after the effective date of this Rule.

(B) This Rule establishes minimum requirements. The Commission may adopt additional requirements for the interconnection of Projects by order pursuant to Public Act No. 61. § 7 (2006 Vt., Adj. Sess.).

5.502 Definitions

- (1) Affected System – any electric system that is either directly or indirectly connected to the Interconnecting ~~Utility's~~ Utility's electric system that could be adversely affected by the interconnection and parallel operation of the Interconnection ~~Requester's-Generation Resource~~ Requester's Project.
- (2) Application – a request for interconnection initiated by the ~~completed-Standard~~ submission of an Application Form provided by the ~~Board~~ Commission for the interconnection of ~~Generation Resources~~ Projects, the \$300-Application Fee, ~~documentation of site control~~ where required, and ~~any other~~ information ~~regarding certification~~ required by this Rule.
- (3) Application Fee – The fee paid to the interconnecting utility to review an Application. for Projects with a Nameplate Capacity greater than 150 kW, the fee is \$600 or ~~Underwriters Laboratory listing~~ the amount specified in an approved Interconnecting Utility tariff. Unless provided for in an approved Interconnecting Utility tariff, there is no fee for Projects with a Nameplate Capacity of ~~the-Interconnection-Requester's-Generation Resource. The Board~~ less than or equal to 150 kW. The Application Fee is non-refundable unless the Application is withdrawn within 7 days of submittal. The Interconnecting Utility may ~~revise~~ require electronic payment of the ~~Standard-Application Form~~ Fee.
- (2)(4) Application Forms – the forms adopted by the Commission for Projects to request interconnection with the Interconnecting Utility. The Application Forms may be amended by the Commission from time to time, ~~as necessary~~. Application Forms may be submitted electronically to the Interconnecting Utility.
- (3)(5) Automatic Disconnect Device – an electronic or mechanical switch used to isolate a circuit or piece of equipment from a source of power without the need for human intervention.
- (4)(6) Commission – the Vermont Public Utility Commission.
- (5)(7) Disconnect (verb) – to isolate a circuit or equipment from a source of power. If isolation is accomplished with a solid-state device, ~~"disconnect"~~ ~~shall mean~~ means to cease the transfer of power.
- (6)(8) Disconnection – the state of a circuit or equipment being disconnected from a source of power.
- (7)(9) Distribution Level Study – a System Impact Study conducted at the distribution level.
- (8)(10) Emergency – a situation in which continued interconnection of a ~~Generation~~

~~Resource~~Project is imminently likely to result in significant disruption of service or endanger life or property.

(11) Energy Storage Device – a device that captures energy produced at one time, stores that energy for a period of time, and delivers that energy as electricity for use at a future time.

(12) Export Capacity – the maximum Nameplate Rating of a Project in alternating current (AC), except where such capacity is limited by any of the methods of limiting electrical export listed in Section 5.522 whereby the Export Capacity is the net capacity as limited through the use of such methods (not including Inadvertent Export). For example, if a solar facility paired with a storage facility were proposed with combined output limited to specified MW(s) of export, the specified MW(s) of output would be the Export Capacity.

~~(9)~~(13) Facilities Study – any study or studies performed by an Interconnecting Utility or a designated third party to determine ~~which~~the cost of Interconnection Facilities or System Upgrades ~~that~~ are necessary for interconnection of ~~the a~~ Generation ResourceProject.

~~(10)~~(14) Facilities Study Report – contains the results of the Facilities Study, and is transmitted to the Interconnection Requester in accordance with Section 5.507(G)(3).~~514.~~

~~(11) – Fast Track – the process for establishing an interconnection for certain qualifying Generation Resources in accordance with Section 5.506 of this Rule.~~

~~Fast Track Screening Criteria – the screening criteria for Generation Resources set forth in Section 5.505(B) of this Rule.~~

~~(12)~~(15) Feasibility Study – any study or studies performed by an Interconnecting Utility or a designated third party consisting of initial engineering analyses regarding the feasibility of interconnecting ~~the a~~ Generation Resource, if the ~~Generation Resource is not eligible for Fast Track~~Project.

~~(13)~~(16) Feasibility Study Report – contains the results of the Feasibility Study, and other information ~~pursuant to Sections 5.507(E)(6)(a) through (e)~~required by this Rule.

~~(14)~~(17) FERC – the Federal Energy Regulatory Commission.

(18) Flicker – the subjective impression of fluctuating luminance caused by voltage fluctuations.

(19) Frequency Ride Through – the ability of a Project to stay connected to and synchronized with the system or equipment of the Interconnecting Utility and any Affected Systems during system disturbances within a range of under-frequency and over-frequency conditions, in accordance with Good Utility Practice and consistent with any standards and guidelines that are applied to other Projects in the Interconnecting Utility's service

territory on a comparable basis.

~~(15)~~(20) Generation Resource – a facility that produces electric energy from other energy sources.

(21) Good Utility Practice – any of the practices, methods, and acts engaged in or approved by a significant portion of the electric industry operating a comparable electric system during the relevant time period, or any of the practices, methods, and acts that, in the exercise of reasonable judgment in light of the facts known at the time the decision was made, could have been expected to accomplish the desired result at a reasonable cost consistent with good business practices, reliability, safety, and expedition. Good Utility Practice is not intended to be limited to the optimum practice, method, or act to the exclusion of all others, but rather to be acceptable practices, methods, or acts generally accepted in the region.

~~(16)~~(22) IEEE – Institute of Electrical and Electronics Engineers, Inc.

~~(17)~~(23) Interconnecting Utility – electric utility with which the Interconnection Requester proposes to interconnect a ~~Generation Resource~~Project.

(24) Inadvertent Export - the unscheduled export of power from a Project, exceeding a specified magnitude and for a limited duration, generally due to fluctuations in load-following behavior.

~~(18)~~(25) Interconnection Agreement – an agreement between an Interconnecting Utility and Interconnection Requester regarding the interconnection and parallel operation of a ~~Generation Resource~~Project. The Interconnection Agreement is accompanied by or includes Technical Requirements and Operator Protocols.

~~(19)~~(26) Interconnection Facilities – all facilities and equipment between ~~the a~~ Generation ResourceProject and the Point of Interconnection, including any modification, additions, or upgrades that are necessary to physically and electrically interconnect the ~~Generation Resource~~Project to the Interconnecting ~~Utility's~~Utility's distribution or transmission system. Interconnection Facilities are sole-use facilities and ~~shall~~do not include System Upgrades.

~~(20)~~ ~~Interconnection Requester~~ – ~~person or entity who proposes to interconnect a Generation Resource with an Interconnecting Utility.~~

~~(21)~~(27) Interconnection Queue – the list of Applications for the interconnection of ~~Generation Resources~~Projects, in order based ~~upon~~on the date-and-time -stamp of complete Applications, maintained by each Interconnection Utility.

(28) Interconnection Requester – person or entity who proposes to interconnect a Project with an Interconnecting Utility.

~~(22)~~(29) ISO-NE – ~~Independent System Operator of ISO~~ New England, Inc.

(30) Material Modification – means a modification that has a material impact on the cost or timing of processing an Application with a later queue priority date or a change in the Point of Interconnection. A Material Modification does not include, for example, (a) a change of ownership of a Project, (b) a change or replacement of equipment that is a like-kind substitution in size, ratings, impedances, efficiencies, or capabilities of the equipment specified in the original Application, or (c) a reduction in the output of the Project of 10% or less.

(31) Nameplate Rating – means the sum total capacity of all of a Project’s constituent units, regardless of whether it is limited by any of the methods listed in Section 5.522.

(32) Non-Jurisdictional Affected Utility – a utility other than a Vermont distribution utility that operates an Affected System and will need to provide study support.

~~(23)~~(33) Operator Protocols – an agreement between the Interconnection Requester and the Interconnecting Utility pertaining to the operation and maintenance of the ~~Generation Resource~~Project.

~~(24)~~(34) Point of Interconnection – the point ~~at which the interconnection between~~on the Interconnecting ~~Utility’s~~Utility’s existing system ~~and to which~~ the Interconnection Requester’s equipment interface occursRequester proposes to interconnect.

~~(25)~~(35) PUC – the Vermont Public Utility Commission.

(36) Pre-Application Fee – a Pre-Application Request includes a Pre-Application Fee. The fee is \$300 or the amount specified in an approved utility tariff.

(37) Pre-Application Report – information about the application process and the point of proposed interconnection to the utility system.

(38) Pre-Application Request – a request from the Interconnection Requester for a Pre-Application Report.

(39) Preliminary Review – the initial process for establishing an interconnection for certain qualifying Projects in accordance with Section 5.512 of this Rule.

(40) Preliminary Screening Criteria – the screening criteria for Projects set forth in this Rule. These criteria are included in the Preliminary Review but will also be analyzed further in Feasibility and System Impact Studies as needed.

(41) Project – a Generation Resource or Energy Storage Device or an electrically connected combined Generation Resource and Energy Storage Device.

~~(26)~~(42) Radial Feeder – a distribution line that branches out from a substation and is

normally not connected to another substation or another circuit sharing a common supply of electric power.

~~(27)~~(43) Scoping Meeting – an optional meeting between the Interconnecting Utility and the Interconnection Requester to discuss the ~~results~~conclusion of the ~~review of the Fast Track Screening Criteria~~Preliminary Review, and how to proceed with the interconnection request.

~~(28)~~ ~~Standard Application Form – the form included as Attachment 1 to this Rule, as may be amended by the Board from time to time.~~

~~(44)~~ Site Control – the ability of the Applicant to control the Project site documented by one of the following: (1) fee simple title to such real property; (2) valid written leasehold or easement interest for such real property; (3) a legally enforceable written option with all terms stipulated, including “option price” and “option term,” unconditionally exercisable by the proponent or its assignee, to purchase or lease such real property or hold an easement for such property; or (4) a duly executed contract for the purchase and sale of such real property. Site control must be unconditional and continuous throughout the process, or a Project will be removed from the queue.

~~(45)~~ Smart Inverter – a Project’s inverter that performs functions that, when activated, can autonomously contribute to grid support during excursions from normal operating voltage and frequency system conditions by providing dynamic reactive/real power support, Voltage Ride Through, Frequency Ride Through, ramp rate controls, communication systems with ability to accept external commands, and other functions.

~~(46)~~ Study Agreement – an agreement between the Interconnecting Utility and Interconnection Requester regarding the terms and conditions of the conduct of any study (e.g., Facilities Study Agreement) proposed by the Interconnecting Utility in order to proceed with the interconnection review process.

~~(29)~~(47) System Impact Study – any study or studies performed by an Interconnecting Utility or a designated third party to ensure the safety, reliability, and stability of the electric power system with respect to the interconnection of ~~Generation Resources~~Projects.

~~(30)~~(48) System Impact Study Report – contains the results of the System Impact Study, and other information ~~pursuant to Sections 5.507(F)(4)(a) through (e)~~required by this Rule.

~~(31)~~(49) System Upgrades – the additions, modifications, and upgrades to the distribution system and/or transmission system at or beyond the Point of Interconnection to facilitate interconnection of ~~the a Generation Resource~~Project. System Upgrades do not include Interconnection Facilities.

~~(32)~~(50) Technical Requirements – an agreement between the Interconnection Requester

and the Interconnecting Utility designed to provide protection to the public and to the personnel and equipment of the Interconnection Requester and Interconnecting Utility from the physical and financial risks associated with the interconnection and parallel operation of the proposed ~~Generation Resource Project~~. The interconnection Technical Requirements accomplish this task through, including, but not limited to, ensuring the installation of proper protective devices and metering equipment, and establishing performance criteria to minimize the probability that the ~~Generation Resource Project~~ will reduce the quality of service on the Interconnecting ~~Utility's~~ Utility's system.

~~(33)~~(51) This Rule – ~~PSB Commission~~ Rule 5.500: Interconnection Procedures for Proposed Electric ~~Generation Resources Projects~~.

~~(34)~~(52) Transmission Level Study – a System Impact Study conducted at the transmission level.

~~(53)~~ Voltage Ride Through – the ability of a Project to stay connected to and synchronized with the system or equipment of the Interconnecting Utility and any Affected Systems during system disturbances within a range of under-voltage and over-voltage conditions, in accordance with Good Utility Practice and consistent with any standards and guidelines that are applied to other Projects in the Interconnecting Utility's service territory on a comparable basis.

~~(54)~~ VELCO – Vermont Electric Power Company, which operates the transmission system in Vermont.

5.503 General Procedures

~~(A) Applications for proposed Generation Resources that are determined to be complete in accordance with Section 5.504, and which satisfy all of the Fast Track Screening Criteria of Section 5.505(B), shall follow the Fast Track process specified in Section 5.506. Complete Applications for proposed Generation Resources that do not meet all of the Fast Track Screening Criteria shall be evaluated through the appropriate Feasibility, System Impact, and/or Facilities Studies as set forth in Section 5.507 of this Rule. The Standard Application Form is included as Attachment 1 to this Rule.~~

~~(A)~~ All studies conducted pursuant to this Rule must model all Projects at their Export Capacity, including any limitations on export imposed by means identified in Section 5.522 or at some other reasonable and expected capacity determined by the Interconnecting Utility.

~~(B)~~ For Projects that include an Energy Storage Device, all studies conducted pursuant to this Rule must consider the operational characteristics unique to Energy Storage Devices that can minimize impacts on system stability and reliability. The Interconnecting Utility may impose inverter settings or operating regimes relating to Energy Storage Devices that ensure system stability and reliability.

(C) All studies must consider all Projects that:

- (1) Are directly interconnected to the Interconnecting Utility's electric transmission or distribution system;
- (2) Are interconnected to Affected Systems and may have an impact on the Interconnection Requester's Application; and
- (3) Have a pending Application with an earlier position in the Interconnection Queue to interconnect to the electric transmission and/or distribution systems.

~~(A)~~(D) After providing an opportunity for comment to the Vermont Department of Public Service, electric utilities, and other affected parties, the ~~Board will~~Commission may provide model documents, which may be used by the Interconnecting Utility and Interconnection Requester, for the following: ~~Feasibility Study Agreement, System Impact Study Agreement, Facilities~~Pre-Application Report Request, any Study Agreement, Interconnection Agreement, Technical Requirements, and Operator Protocols. However, the Interconnecting Utility and Interconnection Requester may also voluntarily enter into different arrangements. In the event that these parties are unable to agree ~~upon~~on the terms of an agreement to be reached under this Rule, either party may petition the ~~Board under Section 5.508(D) of this Rule~~Commission for resolution of the dispute.

~~(B)~~(E) The time deadlines specified in this Rule, for utilities governed by this Rule, are maximum times; ~~unless the Interconnecting Utility and the Interconnection Requester make a mutual agreement to extend a deadline, provided that such an extension would not affect lower queued Projects.~~ To avoid unnecessary delay ~~of the Generation Resource project,~~ the Interconnecting Utility is encouraged to complete each task in less time than allotted, to the extent feasible.

~~(B) — A flow chart for the interconnection procedures specified in this Rule is included in Appendix A. The flow chart is provided for informational purposes only, and is not part of this Rule.~~

(F) ~~5.504~~ Different time deadlines may have to be instituted for studies required by Non-Jurisdictional Affected Utilities. These utilities may require studies, including but not limited to Transmission Level Studies (i.e., ISO-NE), but their timelines to provide such studies may not be governed by these rulesthis Rule or the Commission. By way of example and not limitation, when a Project Nameplate Rating is greater than 1 MW, the Interconnecting Utility is required to submit a generator notification form to ISO-NE and ISO-NE may determine that additional study is necessary.

(G) The Interconnecting Utility may contract with consultants, including contractors acting on behalf of the Interconnecting Utility, to perform the activities required under a Study Agreement. The third-party entities contracted with must be licensed appropriately for each area of study.

5.504 Group and Serial Studies

- (A) An Interconnecting Utility may propose for Commission approval a tariff establishing procedures for group studies. The tariff must include standards and procedures for the following issues:
- (1) Group formation, including the timing, geographic scope, and requirements for participation;
 - (2) How to conduct group studies, including phases, duration, group and individual impact assessments, and distribution and transmission impacts;
 - (3) Group retention, including managing group attrition, (e.g., phases, deposits, site control) and the impact of Project modifications;
 - (4) Cost allocation, including study costs and upgrade costs; and
 - (5) Transitioning to a group study process, including impact on Projects already in the queue.
- (B) If the number and timing of interconnection requests for a specific area ~~is~~ are such that interconnection requests directly affect each other, the Interconnecting Utility may study Projects serially. In the case of serial review, the Interconnecting Utility will notify the Interconnection Requester that its review of the Project will be on hold until the Interconnecting Utility has completed its study or review of Projects ahead of the Interconnecting Requester in the Interconnection Queue.

5.505 Optional Pre-Application ~~Submittal and Determination of Complete Report~~

- (A) Upon receipt of a completed Pre-Application Report Request and the Pre-Application Fee, the Interconnecting Utility must provide the pre-application data described in this section within 14 days. The Pre-Application Report Request must include a proposed Point of Interconnection, generation technology, storage technology, Project Nameplate Rating, Project Export Capacity, and fuel source. The proposed Point of Interconnection must be defined by latitude and longitude, site map, street address, utility equipment number (e.g., pole number), meter number, account number, or some combination of the above sufficient to clearly identify the location of the Point of Interconnection.
- ~~(A) Pre-Application. To assist an Interconnection Requester in the interconnection process, the Interconnecting Utility shall designate an employee or office from which information on the application process can be obtained through an informal request by the Interconnection Requester presenting a proposed project. When responding to the first such informal request, the Interconnecting Utility shall inform the Interconnection Requester of, and how to access, this Rule. System information provided to Interconnection Requesters should include relevant existing system studies, existing interconnection studies, and other existing materials useful to an understanding of an~~

~~interconnection at a particular point on the Interconnecting Utility's electric system. The Interconnecting Utility shall comply with reasonable requests for such information. Prior to the Interconnection Requester's filing an Application, the Interconnecting Utility shall inform the Interconnection Requester of its view on whether the interconnection of the proposed Generation Resource is governed by this Rule, Rule 5.100, or the interconnection requirements of the ISO-NE or successor rules approved by FERC, and the basis for that view.~~

~~(B) — Application. The Interconnection Requester shall complete and submit to the Interconnecting Utility the Standard Application Form, provided by the Board, for single-phase or three-phase equipment of any size. In addition to the Standard Application Form, the Interconnection Requester shall include the following items and information in an Application:~~

~~(1) — The \$300 Application fee. The Application fee shall be non-refundable, unless the Application is withdrawn within five business days of submittal.~~

~~(2) — Documentation of site control, which may be demonstrated through:~~

~~(a) — Ownership of, a leasehold interest in, or a right to develop a site for the purpose of constructing a Generation Resource;~~

~~(b) — An option to purchase or acquire a leasehold site for such purpose; or~~

~~(c) — An exclusivity or other business relationship between the Generation Resource and the entity having the right to sell, lease or grant the Generation Resource the right to possess or occupy a site for such purpose.~~

(B) The Pre-Application Report will include the following information if available:

(1) Total Export Capacity (MW) of the substation or circuit likely to serve the proposed site;

(2) Allocated Export Capacity (MW) of the substation or circuit likely to serve the proposed site;

(3) Queued Export Capacity (MW) of the substation or circuit likely to serve the proposed site;

(4) Available Export Capacity (MW) of the substation or bank and circuit most likely to serve the proposed site;

(5) Nominal distribution voltage of the circuit most likely to serve the proposed site;

(6) Approximate circuit distance between the proposed site and the substation;

- (7) Hourly load profile by substation and transformer, at the most specific granularity available (e.g., if an 8760-hour profile is not available, then provide a 576-hour profile);
 - (8) Relevant line section(s) peak load estimate, and minimum load data, when available;
 - (9) Number of protective devices and number of voltage regulating devices between the proposed site and the substation;
 - (10) Whether or not three-phase power is available at the site and distance from three-phase service;
 - (11) Limiting conductor rating from proposed Point of Interconnection to the substation;
 - (12) Based on the proposed Point of Interconnection, existing or known constraints such as, but not limited to, electrical dependencies at that location, short-circuit interrupting capacity issues, power quality or stability issues on the circuit, capacity constraints, or secondary networks; and
 - (13) Any other information deemed relevant by the Interconnecting Utility.
- (C) The Pre-Application Report need only include pre-existing data. A Pre-Application Report request does not obligate the utility to conduct a study or other analysis of the proposed Project. If the utility cannot complete all or some of a Pre-Application Report due to lack of available data or need for additional analysis, the utility will provide the applicant with a Pre-Application Report that includes the information that is available.
- (D) In requesting a Pre-Application Report, the Interconnection Requester understands that:
- (1) The existence of “Available Generating Capacity” in no way implies that an interconnection up to this level may be completed without impacts because there are many variables studied as part of the interconnection review process;
 - (2) The utility system is dynamic and subject to change;
 - (3) Data provided in the Pre-Application Report may be outdated and not useful at the time of submission of the complete Interconnection Request; and
 - (4) Pre-Application Report Requests are not placed in the Interconnection Queue.
- (E) Notwithstanding any of the provisions of this Section, the Interconnecting Utility must, in good faith, provide Pre-Application Report data that represents the best available information at the time of reporting.

5.506 Application

(A) All Projects must complete the appropriate Application Form as follows:

- (1) For Projects with a Nameplate Rating up to 150 kW;
- (2) For Projects greater than (>) 150 kW; or
- (3) As otherwise provided by the Commission.

(B) All Projects must provide:

- (1) Information regarding certification or Underwriters Laboratory listing of the Interconnection ~~Requester's Generation Resource.~~Requester's Project;

~~(C) The Interconnecting Utility shall date and time stamp Applications upon receipt. The original date and time stamp applied to the Application at the time of its original submission for interconnection shall be accepted as the qualifying date and time stamp for the purposes of any timetable in this Rule and for inclusion in the Interconnecting Utility's Interconnection Queue.~~

~~(D) Initial notifications by Interconnecting Utility~~

- (2) ~~The Interconnecting Utility shall provide the~~ Information regarding inverter settings, including inverter data sheets;
- (3) For any Energy Storage Device, the proposed use case(s) or operational requirements and restrictions under the Project should be studied.

(C) Projects with a Nameplate Rating greater than 150 kW, including the combined Nameplate Rating of any Generation Resource and Energy Storage Device, must provide:

- (1) The Application Fee;
- (2) Documentation of Site Control;
- (3) An electrical one-line diagram signed and stamped by a licensed professional engineer ; and
- (4) A site plan. The site plan should show the location of the facility and location of the facility equipment (to the extent that it is known).

5.507 Interconnection Queue

- (A) Interconnection ~~Requester with a notification of receipt within 5 business days of receiving the~~Queue. Each interconnecting utility must maintain an Interconnection ~~Requester's~~

~~Application.~~ Queue of all proposed Projects.

- (B) Interconnection Queue position. The Interconnecting Utility ~~shall notify~~ must assign each Project a position in the Interconnection ~~Requester within 10 business days of~~ Queue based on the ~~receipt~~ date-and-time stamp of the ~~Application as to whether the Application is~~ Interconnection Requester's complete ~~or incomplete.~~ Application.
- (C) ~~(a) — If the Application is complete, the Interconnecting Utility shall~~ Certain Interconnection Queue information available online. The Interconnecting Utility must make its Interconnection Queue available online, redacted to include only the Project type, queue position, location by town, interconnection circuit, fuel type (including renewable sources), date of interconnection request, expected operation date, study status, Nameplate Rating of each energy resource included in the Project, and Export Capacity of proposed Projects.

5.508 Notice of Applications

- (A) Notice to Affected Systems. The Interconnecting Utility must notify the Affected ~~Systems~~ System's utility in accordance with the same interconnection notification protocols that would apply if the Application were subject to FERC jurisdiction, ~~and shall place the Application in the Interconnecting Utility's Interconnection Queue.~~
- (B) ~~(b) — If the Application is incomplete, the Interconnecting Utility shall~~ Notification to VELCO. To assess any transmission-level impacts and to coordinate any needed transmission-level interconnection studies, the Interconnecting Utility must send a copy of the Application once deemed complete to VELCO for all proposed Projects greater than 1 MW in Nameplate Rating. Within 14 days of receipt, VELCO must forward the Application to ISO-NE to determine whether Transmission Level Studies are required. VELCO will notify the Interconnecting Utility within 14 days of receipt of ISO-NE's determination. VELCO's response will include the date of submittal to ISO-NE for information. If requested by VELCO, the Interconnecting Utilities will provide a copy of the complete Application for Projects smaller than 1 MW Nameplate Rating.
- (C) Notification to distribution utilities providing subtransmission services. To assess any subtransmission-level impacts and to coordinate any needed subtransmission-level studies, the Interconnecting Utility will notify the distribution utility providing subtransmission service of any proposed Projects with a Nameplate Rating greater than 150 kW.

5.509 Cost Allocation

- (A) The date-and-time stamp of the Application will be used to determine the cost responsibility for any interconnection studies or System Upgrades necessary to accommodate the interconnection.

- (B) For group review of multiple Applications, the Interconnecting Utility may allocate costs based on a methodology specified in an approved utility tariff.

5.510 Procedure for Projects with a Nameplate Rating of 500 kW or Less

- (A) The Interconnecting Utility must notify the Interconnection Requester within 7 days of the receipt of the Application if the Application is incomplete. The notification must include a written list detailing all information that must be provided to complete the Application. An Application will be complete upon submission to the Interconnecting Utility of a revised Application containing the listed information.
- (B) If the Interconnecting Utility determines that there are interconnection issues, the Interconnecting Utility must notify the Interconnecting Requester within the following timeframes:
- (1) In the case of a Project with an Export Capacity of 15 kW or less, the 15th day following the date of receipt of a complete Application; and
 - (2) In the case of a Project with an Export Capacity greater than 15 kW and no more than 500 kW, the 31st day following the date of receipt of the complete Application.
- (C) For Projects that have an Export Capacity not greater than 15 kW and a Nameplate Rating not greater than 50 kW, the following screening criteria will be utilized:
- (1) The proposed Project must meet current requirements per Section 5.520 regarding inverter and Project equipment package certification;
 - (2) The proposed Point of Interconnection is not a transmission line; and
 - (3) The aggregate Export Capacity, including the Export Capacity of the proposed Project, on a distribution circuit will not cause any customer equipment or distribution equipment, including but not limited to conductors, distribution transformers, and fuse cutouts, to exceed the equipment's thermal ratings.
- (D) For Projects that have an Export Capacity greater than 15 kW or a Nameplate Rating greater than 50 kW, the Interconnecting Utility will review the screening criteria in 5.512(D). If the Interconnecting Utility determines that the interconnection raises system issues, the Interconnecting Utility must notify the Interconnecting Requester in writing within the timeframes set forth in (B) above. The Interconnecting Utility's letter must include a recommendation as to how the interconnection issues could be resolved by the Interconnection Requester or state whether additional analysis is required.
- (E) For Projects with a Nameplate Rating greater than 150 kW, the Interconnecting Utility may require electronic payment of the Application Fee. If the Interconnecting Utility allows the Application Fee to be paid by check, the Interconnecting Utility must wait until the

~~fourteenth~~ 14th day following receipt of the Application for the Application Fee to arrive. If the Application Fee has not arrived in that time period, the Application will be deemed incomplete.

- (F) If additional interconnection analysis is required, the applicable procedures set forth below in this Rule will be followed.
- (G) The Interconnection Requester must notify the Interconnecting Utility immediately of any change in the information provided in the Application that was determined to be complete, including but not limited to the loss of site control.

5.511 Procedure for Projects with a Nameplate Rating Greater than 500 kW

- (A) The Interconnecting Utility must notify the Interconnection Requester of receipt within 7 days of receiving the Interconnection Requester's Application.
- (B) The Interconnecting Utility must notify the Interconnection Requester within 14 days of the receipt of the Application as to whether the Application is complete or incomplete.
 - (1) If the Application is incomplete, the Interconnecting Utility must provide, along with the notice that the Application is incomplete, a written list detailing all information that must be provided to complete the Application. ~~The Interconnection Requester shall have 10 business days after receipt of the Notice to submit the listed information or to request an extension of time to provide such information. If the Interconnection Requester does not provide the listed information or a request for an extension of time within the 10-business day deadline, the Application shall be deemed withdrawn.~~ An Application will be complete upon submission of the listed information to the Interconnecting Utility of a revised Application containing the listed information. The Interconnecting Utility will have 14 days to review the revised Application for completeness.
- ~~(C) Each Interconnecting Utility shall maintain an Interconnection Queue. The Interconnecting Utility shall assign each complete Application a position in the Interconnection Queue based upon the date and time stamp of the Interconnection Requester's Application. The date and time stamp of the Application will be used to determine the cost responsibility for any System Upgrades necessary to accommodate the interconnection. At the Interconnecting Utility's option, interconnection requests may be studied serially or in clusters for the purpose of the System Impact Study.~~
- ~~(D) Modification of Application. Any material modification to machine data or equipment configuration or to the Point of Interconnection not agreed to in writing by the Interconnecting Utility and the Interconnection Requester may be deemed by the Interconnecting Utility as a withdrawal of the Application and may require submission of a new Application, unless proper notification of each party by the other and a~~

~~reasonable time to cure the problems created by the changes are undertaken. This provision shall apply during the process described in Sections 5.505 through 5.508 of this Rule.~~

~~—Fast Track~~

- (2) The Interconnecting Utility may require electronic payment of the Application Fee. If the Interconnecting Utility allows the Application Fee to be paid by check, the Interconnecting Utility must wait until the 14th day following receipt of the Application for the Application Fee to arrive. If the Application Fee has not arrived in that time period, the Application will be deemed incomplete.
- (3) Complete Applications reviewed pursuant to this subsection will be reviewed using the procedures specified in this Rule.
- (4) The Interconnection Requester must notify the Interconnecting Utility immediately of any change in the information provided in the Application that was determined to be complete, including but not limited to the loss of site control.

5.512 Preliminary Review Screening Process

- (A) Within ~~15 business~~30 days after an Application is determined to be complete, the Interconnecting Utility ~~notifies the Interconnection Requester it has received a complete Application, the Interconnecting Utility shall~~must perform a review of the Application under the ~~Fast Track~~Preliminary Review screening criteria set forth below, ~~shall notify the Interconnection~~and must notify the Interconnection Requester of the conclusion and determination if additional study is required. However, the Preliminary Review process can be waived if the Interconnecting Utility and Interconnecting Requester ~~of the results, and shall include with the notification copies of the~~mutually agree to move directly to the study process.
- ~~(A)~~(B) The Preliminary Review is an analysis ~~and data underlying the Interconnecting Utility's determinations under the Fast Track Screening Criteria~~by the Interconnecting Utility of the Preliminary Screening Criteria in Section 5.512(D) and is performed with readily available data and models. If the Interconnecting Utility is unable to perform a Preliminary Review without extensive data acquisition, model development, load flow analysis, or short-circuit analysis, then the Preliminary Screening Criteria are considered failed.
- ~~(B) —Fast Track Screening Criteria~~
 - (1) ~~The Interconnection Requester's proposed Generation Resource meets the applicable codes and standards of Section 5.510 or is a certified equipmentpackage under Section 5.511.~~
- (C) The Interconnection Requester's proposed Generation Resource meets the applicable codes and standards of Section 5.510 or is a certified equipment package under Section 5.511. On the basis of the Preliminary Review, if the Interconnecting Utility concludes that additional study is required, the Interconnecting Utility must convey these concerns in

writing to the Interconnection Requester within the required timeframes.

(D) Preliminary Screening Criteria:

~~(2)~~ — The proposed interconnection point is ~~not at transmission voltage (i.e., not over 23 kV line to line or 13.28 kV line to neutral).~~

~~(3)~~(1) ~~For interconnection to a Radial Feeder, the aggregated generation, including the proposed Generation Resource, on the circuit will not exceed 15% of the line section annual peak load as most recently measured at the substation. A line section is that portion of a distribution system connected to a customer bounded by Automatic Disconnect Devices or the end of the distribution line.~~ line.

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~~(2)~~ The aggregated ~~generation~~Export Capacity, including the ~~proposed Generation Resource~~capacity of the Project, on a distribution circuit will not ~~contribute more than 10% to cause any distribution equipment, including but not limited to conductors, substation transformers, line stepdown transformers, substation breakers, regulators, fuse cutouts, and line reclosers, or customer equipment on the system, to exceed the equipment's thermal ratings.~~

~~(4)~~ — The proposed Project's Nameplate Rating, in aggregation with other Generation Resource Nameplate Ratings on the distribution ~~circuit's maximum fault current at the point on the high voltage (primary) level nearest the proposed interconnection point.~~

~~(5)~~(3) ~~The aggregated generation, including the proposed Generation Resource, on a distribution circuit~~circuit, will not cause any distribution protective devices and equipment (including, but not limited to, substation breakers, fuse cutouts, and line reclosers), or ~~customer~~Interconnection Requester equipment on the system to exceed ~~85~~87.5% of the short-circuit interrupting capability; nor ~~is~~will the ~~Generation Resource~~Project be proposed for a circuit that already exceeds ~~85~~87.5% of the short-circuit interrupting capability.

~~(4)~~ The proposed Project will have no adverse impact on existing protection coordination.

~~(5)~~ The proposed Project, in aggregation with other Export Capacity on the distribution circuit, will not result in potential for Transmission Ground Fault Overvoltage (TGFOV).

~~(6)~~ The proposed Project, in aggregation with other Export Capacity on the distribution circuit, will not cause unintentional islanding. The proposed Project does not require additional unintentional islanding protection.

~~(6)~~(7) For interconnection of a proposed single-phase or effectively -grounded three-phase ~~Generation Resource~~Project where the primary distribution system is three-

phase, four-wire, the Generation Resource Project will be connected line-to-neutral. For interconnection of a proposed single-phase or three-phase Generation Resource Project where the primary distribution system is three-phase, three-wire, the Generation Resource Project will be connected line-to-line.

(8) The proposed Project is not located in an area where there are known or posted transient stability limitations to Projects located in the general electric vicinity, including but not limited to known harmonic issues.

(9) The proposed Project will not affect the Interconnecting Utility's ability to maintain voltages consistent with Standard ANSI C84.1.

~~(7)~~(10) Voltage drop due to caused by starting the proposed generator Generation Resource is within acceptable limits, meaning that inrush current, due to starting caused by the startup of the proposed Generation Resource Project up to once per hour, is not greater than 3% of the available fault current, or does not cause greater than a 3% voltage deviation at the Point of Interconnection as modeled in an unbalanced load flow. Voltage drop due to starting the proposed Generation Resource Project more than once per hour meets a tighter inrush-current tolerance, to be determined by the Interconnecting Utility. This criterion is applicable only to synchronous or induction Projects.

~~(8) For any single Generation Resource, the available utility short circuit current at the Point of Interconnection divided by the rated output current of the Generation Resource is no less than:~~

~~(a) 50 for Generation Resources of less than 100 kW;~~

~~(b) 40 for Generation Resources from 100 kW to less than 500 kW; and~~

~~(c) 20 for Generation Resources equal to or greater than 500 kW.~~

~~(9) Aggregate generation, including the Generation Resource, on a circuit will not exceed 2 MVA in an area where there are known or posted transient stability limitations to generating units located in the general electrical vicinity (e.g., three or four busses from the point of interconnection).~~

~~(10) No System Upgrades, in excess of limited preparations~~ The Interconnection Requester affirms that do not necessitate a Facilities Study, are required to facilitate the interconnection of the Generation Resource.

(11) ~~For interconnection of the proposed Generation Resource to the load side of spot network protectors, the proposed Generation Resource utilizes inverter-based~~ Project meets the applicable codes and standards of Section 5.520 or is a certified equipment and aggregate generation, including proposed Generation Resource, will not exceed the smaller of 5% of a spot network's maximum load or

~~50 kW. Synchronous generators cannot be connected to a secondary network package under Section 5.519.~~

- ~~(12) If the Generation Resource is to be connected on a shared, single-phase secondary, aggregate generation capacity on the shared secondary, including the proposed generation, will not exceed 20 kVA.~~
- ~~(13) If the Generation Resource is single-phase and is to be interconnected on a center tap neutral of a 240-volt service, its addition will not create an imbalance between the two sides of the 240-volt service of more than 20% of the service transformer nameplate.~~

~~5.506 Applications Eligible for Fast Track~~

- ~~(12) (A) Applications for proposed Generation Resources shall be eligible for Fast Track if the proposed Generation Resource satisfies all of the Fast Track Screening Criteria. At the time the Interconnecting Utility notifies the Interconnection Requester of the results of the Flicker caused by the proposed Project must comply with IEEE Standard 1547.~~
- ~~(13) For Projects that will not export to the grid, the voltage drop caused by Inadvertent Export is within acceptable limits, meaning that voltage change at the primary level caused by the loss of load at the Project point of interconnection is less than 3%.~~
- ~~(14) Identification of affected Vermont utilities and/or Non-Jurisdictional Affected Utilities. These entities must identify no adverse impact on their Affected Systems.~~
- ~~(E) If the proposed interconnection passes the Preliminary Screening Criteria, the interconnection request must be approved, and the Application will not require additional study. Approval of an Application must be provided to the applicant in writing. For Projects greater than 150 kW in capacity, the Interconnecting Utility must provide the Interconnection Requester with an executable Interconnection Agreement within 7 days after the completion of the Preliminary Review. Projects with a Nameplate Rating of less than 150 kW may interconnect without an Interconnection Agreement unless operating restrictions or requirements are identified. For Projects with a Nameplate Rating of less than 150 kW with operating restrictions or requirements imposed as part of the interconnection, the Interconnecting Utility must provide the Interconnection Requester with an executable Interconnection Agreement within 7 days after the completion of the Preliminary Review.~~
- ~~(F) If the Project fails the Preliminary Screening Criteria, but the Interconnecting Utility determines that the Project may nevertheless be interconnected consistent with safety, reliability, and power quality standards, the Interconnecting Utility must notify the Interconnection Requester in writing that the Application is approved. If the Project is greater than 150 kW in Nameplate Rating or has operating restrictions or requirements imposed as part of the interconnection, the Interconnecting Utility must provide an~~

executable Interconnection Agreement within 7 days. The Interconnecting Utility must provide a technical justification in the Preliminary Review conclusion regarding why the proposed Project may nevertheless be interconnected consistent with safety, reliability, and power quality standards.

(G) If the Preliminary Review identifies additional facilities or system upgrades that are not of a routine and uncomplicated nature and are needed to mitigate potential adverse impacts on the electric system, and if neither a Feasibility Study or System Impact Study is required, the Interconnecting Utility must send the Interconnection Requester an executable Facilities Study agreement (if required), which must include an outline of the scope of the study and a good-faith estimate of the cost to perform the study, within 7 days after the Interconnecting Utility provides the Preliminary Review conclusion.

~~(C)~~(H) If the Project fails the Preliminary Screening Criteria, and the Interconnecting Utility does not or cannot determine from the initial review of the Fast Track Screening Criteria, if the Application that the Project may nevertheless be interconnected consistent with safety, reliability, and power quality standards, and unless the Interconnection Requester is eligible for Fast Track ~~willing to consider minor modifications or further study, the Interconnecting Utility shall present~~ must provide the Interconnection Requester with the option of Fast Tracking the Application. If limited and low cost preparations are required to Fast Track the proposed Generation Resource, opportunity to attend a good-faith cost estimate shall accompany the notification that the requested interconnection can be Fast Tracked. Scoping Meeting. ~~If the Interconnection Requester indicates in response to this notification opportunity that it does not want to hold a Scoping Meeting or proceed further to additional study, the Application will be considered withdrawn.~~

~~(D)~~(I) ~~(B)~~ If mutually agreed upon, a Scoping Meeting to discuss available options may be scheduled and held within 10 business 14 days of the Interconnecting Utility notifying the Interconnection Requester of the results of the review of the Fast Track Screening Criteria Preliminary Screening Criteria. The purpose of the Scoping Meeting may be to review existing studies relevant to the Interconnection Requester's Application.

~~(C)~~ Applications that qualify for Fast Track shall not require Feasibility, System Impact At the time of notification of the Interconnecting Utility's determination, or Facilities Studies, and shall proceed directly to the Interconnection Agreement in accordance with Section 5.506(D).

~~(D)~~ Interconnection Agreement

(J) If the proposed Generation Resource is eligible for Fast Track, at the Application shall be approved and Scoping Meeting, the Interconnecting Utility shall must:

(I) Offer to perform limited and low-cost modifications to the Interconnecting Utility's electric system (e.g., changing meters, fuses, relay settings) and provide the a non-binding, good-faith estimate of the cost to make such modifications to the Interconnecting Utility's electric system. If the Interconnection Requester agrees to pay for the modifications to the Interconnecting Utility's electric

system and the Project is greater than 150 kW in Nameplate Rating or has operating restrictions or requirements imposed as part of the interconnection, the Interconnecting Utility must provide the Interconnection Requester with an executable Interconnection Agreement ~~before holding a Scoping Meeting if a~~ within 14 days of the Scoping Meeting ~~or, if there is to be held, within 5 business days of a decision not to hold an~~ Scoping Meeting, ~~or, if applicable, within 5 business days of confirmation that the Interconnection Requester has agreed to make the necessary limited preparations at the Interconnection Requester's expense, whichever is later.~~

- ~~(2) — The Board will provide a model Interconnection Agreement and associated Technical Requirements and Operator Protocols. However, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into different arrangements.~~

5.507 — Applications Not Eligible for Fast Track

- ~~(A) — Applications for proposed Generation Resources shall not be eligible for Fast Track if the proposed Generation Resource does not satisfy all of the Fast Track Screening Criteria.~~

- ~~(B) — For those Proposed Generation Resources that are not eligible for Fast Track, the codes and standards listed in Section 5.510 shall be met to the extent that they are applicable to the proposed Generation Resource.~~

- ~~(1) If mutually agreed upon, a Scoping Meeting to discuss available options may be scheduled and held within 10 business~~14 ~~days of the Interconnecting Utility notifying the Interconnection Requester of the results of the review of the Fast Track Screening Criteria. The purpose of the Scoping Meeting may be to review existing studies relevant to the Interconnection Requester's interconnection Application, and/or to further discuss whether the Interconnecting Utility should perform a Feasibility Study, or proceed directly to a System Impact Study or to a Facilities Study.~~notification of the Interconnecting Utility's determination; or

~~If the Interconnecting Utility and the Interconnection Requester agree to proceed with the interconnection Application and agree that a Feasibility Study should be performed, the procedures of Section 5.507(E) shall apply. If the Interconnecting Utility and the Interconnection Requester agree to proceed with the interconnection Application, but agree not to perform a Feasibility Study and to proceed directly to a System Impact Study or a Facilities Study, the procedures at Sections 5.507(F) or 5.507(G), respectively, shall apply.~~

- (2) Provide a non-binding, good-faith estimate of the costs of such review; or

- (3) Obtain the Interconnection Requester's agreement to continue evaluating the Project under the study processes described in this Rule.

- ~~(E)~~(K) If mutually agreed upon by the Interconnection Requester and the Interconnecting Utility, the Feasibility, System Impact, and/or Facilities Studies may be combined for the purpose

of achieving cost and/or time savings.

~~(E)~~ 5.513 Feasibility Study

- (A) ~~(1) Within 5 business days after the close of the Scoping Meeting, or after the date of the decision not to hold a Scoping Meeting,~~ In cases where the Interconnecting Utility shall determines that a Feasibility Study is necessary, the Interconnecting Utility must provide the Interconnection Requester with an executable Feasibility Study agreement including that includes an outline of the scope of the study and a good-faith estimate of the cost to perform the study. The executable Feasibility Study agreement will be provided by the Interconnecting Utility within 7 days after the close of the Scoping Meeting, or the date of the decision not to hold a Scoping Meeting. In order to remain in the Interconnecting ~~Utility's~~ Utility's Interconnection Queue, the Interconnection Requester must return, within ~~15 business~~ 21 days, an executed Feasibility Study agreement along with a deposit of the lesser of ~~fifty percent~~ 50% of estimated Feasibility Study costs or \$1,000.

A ~~model Feasibility Study Agreement will be provided by the Board; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into a different arrangement.~~

- (B) ~~(2) A Feasibility Study shall~~ Feasibility Study must include the following analyses:
- (1) Initial identification of any instances where the short-circuit capability limits of any protective device (circuit breaker, recloser, fuse, etc.) ~~would~~ that will be exceeded as a result of the ~~interconnection~~ Project;
 - (2) Initial identification of any thermal overload or voltage limit violations on transmission or distribution systems resulting from the ~~interconnection~~ Project;
 - (3) Initial review of islanding, grounding requirements, and system protection; and
 - ~~(a) Description and non-binding estimated cost of facilities required to interconnect the facility to an electric distribution power system or directly to a transmission system and to address the identified short-circuit and power flow issues.~~
 - (4) (3) Identification of Affected Utilities and/or Non-Jurisdictional Affected Utilities.
- (C) A Feasibility Study ~~shall~~ must model the impact of the ~~Generation Resource regardless of purpose,~~ Project in order to avoid the further expense and interruption of operation for reexamination of feasibility and impacts if the Interconnection Requester later changes the purpose for which the ~~Generation Resource~~ Project is being installed.
- (D) ~~(4)~~ (4) A Feasibility Study ~~shall~~ must include the feasibility of any interconnection at a

proposed Project site where there could be multiple potential Points of Interconnection, as requested by the Interconnection Requester.

- (E) ~~(5)~~ — In performing the Feasibility Study, the Interconnecting Utility ~~shall~~must rely, to the extent reasonably practicable, on existing studies of recent vintage. The Interconnection Requester ~~shall~~will not be charged for such existing studies; however, the Interconnection Requester ~~shall be~~is responsible for charges associated with any new study or modifications to existing studies that are reasonably necessary to perform the Feasibility Study.
- (F) ~~(6)~~ — Feasibility Study Report and Cost Reconciliation
- (1) ~~(a)~~ — Once a Feasibility Study is completed, the Interconnecting Utility ~~shall~~must prepare a Feasibility Study Report, which describes the results of the Feasibility Study, and transmit it to the Interconnection Requester. Barring unusual circumstances outside of the Interconnecting ~~Utility's~~Utility's control, the Interconnecting Utility ~~shall~~must complete a Feasibility Study, and transmit the Feasibility Study Report to the Interconnection Requester, within ~~30 business~~45 days of the Interconnection ~~Requester's agreement to conduct a~~Utility's receipt of an executed Feasibility Study; ~~agreement and deposit as described in Section 5.512(A).~~
- (2) ~~(b)~~ — The Feasibility Study Report ~~shall~~must also include cost estimates for the Distribution Level System Impact Study, Transmission Level System Impact Study, and Facilities Study, to the extent that any of these studies are determined by the Feasibility Study to be required.
- ~~(c) — The Feasibility Study Report shall also include a request that the Interconnection Requester, after reviewing the results of the Feasibility Study, notify the Interconnecting Utility regarding whether the Interconnection Requester would like to proceed with the interconnection Application. If the Interconnection Requester decides not to proceed with the Application, or if the Interconnection Requester does not notify the Interconnecting Utility within 15 business days, the Interconnecting Utility may consider the Application withdrawn.~~
- ~~(d) — Cost reconciliation. Within 15 business days of submittal of the Feasibility Study Report, the Interconnecting Utility shall provide to the Interconnection Requester an invoice that includes a breakdown of the actual cost to perform the Feasibility Study. The Interconnection Requester must pay the full cost of the Feasibility Study. The Interconnecting Utility shall base all study fees on actual costs, which include, but are not limited to, salaries, overheads, and out-of-pocket costs including costs billed by other entities for new studies or portions thereof which the Interconnecting Utility does not itself perform [see 5.507(H)]. If the cost of the Feasibility Study exceeds the deposit, the Interconnection Requester must pay the invoiced amount (cost of the Feasibility Study minus~~

~~the deposit), without interest, within 25 business days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the cost of the Feasibility Study, the Interconnecting Utility shall refund such excess, without interest, within 15 business days of submittal of the Feasibility Study Report.~~

(3) ~~(7)~~—The Interconnecting Utility must provide the applicable Study Agreement or Interconnection Agreement to the Interconnection Requester.

- (G) If a Feasibility Study shows no potential adverse impacts on the electric system, and no additional facilities are required, or the only additional facilities are not transmission voltage equipment or are of a routine and uncomplicated nature for the Interconnecting Utility ~~shall~~(e.g., Projects covered by existing tariffs, fuses, relay settings), the Interconnecting Utility must send the Interconnection Requester written approval of the Application and, in the case of Projects with a capacity greater than 150 kW in Nameplate Rating or with operating restrictions or requirements imposed as part of the interconnection, an executable Interconnection Agreement within 5 business ~~14~~ days after receiving confirmation from the Interconnection Requester that it would like to proceed with the interconnection. A model Interconnection Agreement and associated Technical Requirements and Operator Protocols will be provided by the Board; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into different arrangements ~~delivery of the Feasibility Study Report.~~
- (H) ~~(8)~~—If a Feasibility Study shows no potential adverse impacts on the electric system, but additional facilities are required that need a Facilities Study, the Interconnecting Utility ~~shall~~must send the Interconnection Requester an executable Facilities Study agreement, including an outline of the scope of the study and a good-faith estimate of the cost to perform the study, ~~pursuant to Section 5.507(G), within 5 business days after receiving confirmation from the Interconnection Requester that it would like to proceed with the interconnection. The Board will provide a model Facilities Study Agreement; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into a different arrangement.~~ within 7 days after delivery of the Feasibility Study Report.
- (I) ~~(9)~~—If a Feasibility Study shows the potential for adverse impacts on either the distribution system or the transmission system, the review process ~~shall~~will proceed to the System Impact Study, and the Interconnecting Utility ~~shall~~must send the Interconnection Requester an executable System Impact Study agreement, including an outline of the scope of the study and a good-faith estimate of the cost to perform the study, ~~pursuant to Section 5.507(F), within 5 business~~ 7 ~~days after receiving confirmation from~~ of the Interconnection Requester that it would like to proceed with ~~delivery of the interconnection.~~ Feasibility Study. The executable System Impact Study agreement ~~shall~~must specify whether it and the cost estimate are for a Distribution Level Study, Transmission Level Study, or both. ~~The Board will provide a model System Impact Study Agreement; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into a different arrangement.~~ Additional study is not required if the adverse impacts are minor, routine in nature, or easily mitigated.

~~(F) — System Impact Study~~

~~(J) (1) — In instances where a Feasibility Study shows potential impacts on the transmission system or a Non-Jurisdictional Affected Utility, within 7 days following transmittal of the Feasibility Study Report, the Interconnecting Utility must notify the Affected Systems. Affected Systems may require performance of separate System Impact Studies.~~

5.514 System Impact Study

- (A) In order to remain in the Interconnecting ~~Utility's~~ Utility's Interconnection Queue, the Interconnection Requester must return, within ~~15-business~~ 21 days, an executed System Impact Study agreement along with a deposit equivalent to the estimated cost of the study. ~~A model System Impact Study Agreement will be provided by the Board; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into a different arrangement.~~
- (B) ~~(2)~~ A System Impact Study includes two sub-studies: a Transmission ~~Level~~ Study Level Study and a Distribution Level Study. One or both of the sub-studies may be performed, depending on the specific circumstances of the Application and the findings of the Preliminary Review, Scoping Meeting, and/or Feasibility Study. If the Preliminary Review, Scoping Meeting, or Feasibility Study identifies potential adverse impacts on the distribution system, a Distribution Level Study ~~shall~~ must be performed. If the Scoping Meeting, Feasibility Study, or Distribution Level Study identifies potential adverse impacts on the transmission system, a Transmission Level Study ~~shall~~ must be performed.
- (1) ~~(a)~~ — The Distribution Level System Impact Study ~~shall~~ must consist of a distribution load-flow study, an analysis of equipment-interrupting ratings, protection coordination study, voltage drop and flicker studies, protection and set point coordination studies, ~~and~~ grounding reviews, and the impact on system operation, as necessary.
- (2) ~~(b)~~ — The Transmission Level System Impact Study ~~shall~~ must consist of a short-circuit analysis, a stability analysis, a power-flow analysis, voltage-drop and flicker studies, protection and set-point-coordination studies, and grounding reviews, as necessary.
- (C) ~~(3)~~ — The purpose of the System Impact Study ~~shall be~~ is to identify and specify the impacts ~~to~~ on electric transmission and/or distribution system stability and reliability that would result if the proposed ~~Generation Resource~~ Project were interconnected without Project modifications or system modifications, focusing on the adverse impacts identified in the Preliminary Review, Scoping Meeting, or Feasibility Study, and to identify and study any additional potential impacts. ~~The System Impact Study shall consider all generating facilities that:~~

~~(a) — Are directly interconnected to the Interconnecting Utility's~~

~~electric transmission or distribution system;~~

~~(b) — Are interconnected to Affected Systems and may have an impact on the Interconnection Requester's Application; and~~

~~(c) — Have a pending Application with an earlier position in the Interconnection Queue to interconnect to the electric transmission and/or distribution systems.~~

(D) (4) — If the Project being reviewed includes an Energy Storage Device, the use case(s) for the Energy Storage Device will be included in the review, and the operation of the storage system will be limited to those use cases studied, which will be specifically identified in the Interconnection Application. Should the applicant want to change or add use cases for the Project, notice must be provided to the Interconnecting Utility and further study may be required and the changes may be considered a Material Modification.

~~(D)~~(E) System Impact Study Report and Cost Reconciliation

(1) ~~(a) —~~ Once a System Impact Study is completed, the Interconnecting Utility ~~shall~~must prepare a System Impact Study Report and transmit it to the Interconnection Requester. Barring unusual circumstances outside of the Interconnecting ~~Utility's~~Utility's control, the System Impact Study determined to be necessary by the Feasibility Study or Scoping Meeting ~~shall~~must be completed and transmitted to the Interconnection Requester within ~~45 business~~60 days from receipt of the System Impact Study agreement and deposit if a Feasibility Study was performed, and ~~60~~90 days from receipt of the System Impact Study agreement and deposit if a Feasibility Study was not performed. ISO-NE or Affected Systems may require performance of a separate System Impact Study that may not necessarily be governed by this Rule.

(2) ~~(b) —~~ The System Impact Study Report ~~shall~~must state the assumptions upon ~~which the~~which the System Impact Study is based, state the results of the analyses, and provide the requirements for, or potential impediments to, providing the requested interconnection service, ~~including a preliminary indication of the cost and length of time that would be necessary to correct any problems identified in those analyses and to implement the interconnection.~~ The System Impact Study ~~shall~~must provide a list of ~~facilities~~upgrades that are required as a result of the Interconnection ~~Requester's~~Requester's Application and ~~a non-binding good faith estimate of cost responsibility and a non-binding good faith estimate of time to construct.~~ The System Impact Study Report must be provided with a Facilities Study agreement or Interconnection Agreement.

~~(c) — The System Impact Study Report shall also include a request that the Interconnection Requester, after reviewing the results of the System Impact Study, notify the Interconnecting Utility regarding whether the~~

~~Interconnection Requester would like to proceed with the interconnection Application. If the Interconnection Requester decides not to proceed with the Application, or if the Interconnection Requester does not notify the Interconnecting Utility within 15 business days, the Interconnecting Utility may consider the Application withdrawn.~~

~~(d) — Cost reconciliation. Within 15 business days of submittal of the System Impact Study Report, the Interconnecting Utility shall provide to the Interconnection Requester an invoice that includes a breakdown of the actual cost to perform the System Impact Study. The Interconnection Requester must pay the full cost of the System Impact Study. The Interconnecting Utility shall base all study fees on actual costs, which include, but are not limited to, salaries, overheads, and out-of-pocket costs including costs billed by other entities for new studies or portions thereof which the Interconnecting Utility does not itself perform [see 5.507(H)]. If the cost of the System Impact Study exceeds the deposit, the Interconnection Requester must pay the invoiced amount (cost of the System Impact Study minus the deposit), without interest, within 25 business days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the cost of the System Impact Study, the Interconnecting Utility shall refund such excess, without interest, within 15 business days of submittal of the System Impact Study Report.~~

~~(E)~~(F) ~~(5)~~ — If, while conducting the System Impact Study outlined in the executed System Impact Study agreement, the Interconnecting Utility determines that studies beyond those contained in the executed System Impact Study Agreement are required (for instance, if the Feasibility Study recommended that a Distribution Level Study be conducted, and, during the course of conducting the Distribution Level Study, the Interconnecting Utility determined that a Transmission Level Study is also required), the Interconnecting Utility ~~shall~~must, within ~~5-business~~7 days of making that determination, send the Interconnection Requester a supplemental System Impact Study agreement, including an outline of the scope of the supplemental study and a good-faith estimate of the cost to perform the supplemental study. ~~In order~~ To remain ~~under consideration for interconnection in the Interconnection Queue~~, the Interconnection Requester must return an executed supplemental System Impact Study Agreement within ~~15-business~~21 days with a deposit equivalent to the estimated cost of the supplemental study. Barring unusual circumstances outside of the Interconnecting ~~Utility's~~Utility's control, a supplemental System Impact Study ~~shall~~must be completed and transmitted to the Interconnection Requester within ~~45 business~~60 days of the receipt of the supplemental System Impact Study agreement. ~~The report and cost reconciliation shall follow the procedures detailed in Section 5.507(F)(4)(b) through (d), above.~~

~~(F)~~(G) ~~(6)~~ — In instances where a Feasibility Study or a System Impact Study shows potential ~~for adverse~~ impacts on the transmission system, within ~~5-business~~7 days following transmittal of the Feasibility Study Report or System Impact Study Report, the Interconnecting Utility ~~shall~~must notify the Affected ~~Systems in accordance with the same interconnection notification protocols that would apply if the Application were subject to~~

~~FERC jurisdiction~~ System's utility.

~~(G)~~(H) ~~(7)~~ — Where transmission systems and electric power distribution systems have separate owners, such as is the case with transmission-dependent utilities, whether investor-owned or not, the Interconnection ~~Requesters~~ Requester or Interconnecting Utility may apply to the nearest transmission utility providing transmission service to the transmission-dependent utility to request Project coordination ~~if that transmission utility is notified in accordance with the same interconnection notification protocols that would apply if the Application were subject to FERC jurisdiction.~~ An Affected System's utility must participate in the study and provide all information necessary to prepare the study. Affected Systems may require performance of a separate System Impact Study.

~~(H)~~(I) ~~(8)~~ — If a System Impact Study shows that no additional facilities are required, or that the only additional facilities are not transmission voltage equipment or are of a routine nature, the Interconnecting Utility ~~shall~~must send the Interconnection Requester written approval of the Application and, in the case of Projects with a Nameplate Rating greater than 150 kW or with operating restrictions or requirements imposed as part of the interconnection, an executable Interconnection Agreement within ~~15-business~~21 days after ~~receiving confirmation from the Interconnection Requester that it would like to proceed with the interconnection.~~ A model Interconnection Agreement and associated Technical Requirements and Operator Protocols will be provided by the Board; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into different arrangements. delivery of the System Impact Study Report.

~~(H)~~(J) ~~(9)~~ — If a System Impact Study shows that additional facilities other than those that are routine and uncomplicated in nature for the utility (e.g., Projects covered by existing tariffs, fuses, relay settings) are required, the Interconnecting Utility ~~shall~~must send the Interconnection Requester an executable Facilities Study agreement, including an outline of the scope of the study and a good-faith estimate of the cost to perform the study, ~~pursuant to Section 5.507(G),~~ within ~~5-business~~7 days after ~~receiving confirmation from the Interconnection Requester that it would like to proceed with the interconnection.~~ The Board will provide a model Facilities Study Agreement; however, the Interconnecting Utility and the Interconnection Requester may voluntarily enter into a different arrangement. delivery of the System Impact Study Report.

5.515 **Facilities Study**

(A) ~~(1)~~ — ~~In order~~ To remain in the Interconnecting ~~Utility's~~Utility's Interconnection Queue, the Interconnection Requester must return, within 30 ~~business~~ days, an executed Facilities Study agreement along with a deposit equivalent to the estimated cost of the study. ~~The Interconnection Requester may also request an extension of time within the 30-business days.~~

(B) ~~(2)~~ — Facilities Study preparation. Transmission-system and/or distribution-system interconnection design for any required Interconnection Facilities and/or System Upgrades

~~shall~~must be performed under a Facilities Study agreement between the Interconnection Requester and the Interconnecting Utility.

- (C) ~~The Interconnecting Utility may contract with consultants, including contractors acting on behalf of the Interconnecting Utility, to perform the bulk of the activities required under the Facilities Study agreement.~~ In some cases, the Interconnection Requester and the Interconnecting Utility may reach agreement allowing the Interconnection Requester to separately arrange for the design of some of the required Interconnection Facilities and/or System Upgrades. In such cases, ~~facilities~~Interconnection Facilities' design ~~shall~~will be reviewed, and modified as necessary by the Interconnecting Utility, ~~prior to~~before acceptance under the provisions of the Facilities Study agreement. If the parties agree to separately arrange for design and construction, the Interconnecting Utility ~~shall~~must make sufficient information available to ~~the Interconnection Requester to permit~~allow the Interconnection Requester to obtain an independent design and cost estimate for any necessary facilities. This provision ~~shall~~does not prohibit the Interconnecting Utility and the Interconnection Requester from reaching agreement to protect information that one or the other deems confidential, and ~~shall~~does not require the Interconnecting Utility to disclose information that it is otherwise ~~obliged~~obligated not to disclose or affect the ~~Board's~~Commission's authority to compel or restrict disclosure of information.
- (D) (3) ~~System Upgrades.~~ In cases where System Upgrades are required, the Facilities Study ~~shall~~must be completed and a Facilities Study Report transmitted to the Interconnection Requester within ~~45~~60 days of the receipt of the Facilities Study agreement. In cases where no System Upgrades are required, and the required facilities are limited to Interconnection Facilities, the Facilities Study ~~shall~~must be completed and a Facilities Study Report transmitted to the Interconnection Requester within 30 ~~business~~ days. In either event, the Facilities Study Report ~~shall~~ must include a good-faith estimate of the cost of any recommended System Upgrades or Interconnection Facilities.
- (4) ~~Cost reconciliation. Within 15 business days of submittal of the Facilities Study Report, the Interconnecting Utility shall provide to the Interconnection Requester an invoice that includes a breakdown of the actual cost to perform the Facilities Study. The Interconnection Requester must pay the full cost of the Facilities Study. The Interconnecting Utility shall base all study fees on actual costs, which include, but are not limited to, salaries, overheads, and out-of-pocket costs including costs billed by other entities for new studies or portions thereof which the Interconnecting Utility does not itself perform [see 5.507(H)]. If the cost of the Facilities Study exceeds the deposit, the Interconnection Requester must pay the invoiced amount (cost of the Facilities Study minus the deposit), without interest, within 25 business days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the cost of the Facilities Study, the Interconnecting Utility shall refund such excess, without interest, within 15 business days of submittal of the Facilities Study Report.~~
- (5) ~~Costs of Facilities and Cost Responsibility. Where additional facilities, Interconnection Facilities, or System Upgrades are required to permit the interconnection of a Generation Resource, the Interconnection Requester shall~~

~~bear the entire cost of such facilities. Within 30 days of final collection of all material, labor, contractor, permitting, and other costs incurred by the Interconnecting Utility in construction, testing, and commissioning of the Interconnection Facilities and System Upgrades, the Interconnecting Utility shall provide the Interconnection Requester with an invoice. The Interconnection Requester must pay all such costs that exceed the deposit within 30 business days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the invoiced costs, the Interconnecting Utility shall return such excess, without interest, within 30 business days of receipt of the invoice or resolution of any dispute.~~

~~(6) — Grouping of Facilities. An Interconnecting Utility may propose to group facilities required for more than one Interconnection Requester in order to minimize facilities' costs through economies of scale, but any Interconnection Requester may require the installation of facilities required for its own Generation Resource if it is willing to pay the costs of those facilities.~~

~~(H) — Notification Prior to Exceeding Cost Estimate. For any study for which these Rules require the Interconnection Requester to bear costs, the Interconnecting Utility shall, prior to exceeding a previously provided cost estimate, promptly notify the Interconnection Requester if study costs are likely to exceed the previously provided estimate and shall provide the Requester with a revised total estimated cost for the study. The Interconnecting Utility shall proceed with completing the study unless and until requested to cease processing the Application by the Interconnection Requester, in which case the Requester shall be responsible for all study costs incurred to date and the Application shall be deemed withdrawn.~~

~~(I) — Pursuant to 5.507(E)(6)(d), 5.507(F)(4)(d), and 5.507(G)(4), for those portions, if any, of the study fees for the Feasibility, System Impact, and Facilities Studies which the Interconnecting Utility bills to the Interconnection Requester and for which the Interconnecting Utility could also recover in its rates, the Interconnecting Utility shall book this income separately.~~

(E) The Interconnecting Utility must send the Interconnection Requester an executable Interconnection Agreement within 21 days after delivery of the Facilities Study Report.

5.516 Terms Applicable to All Interconnection Applications

~~(A) — The interconnection of all Generation Resources shall include a utility accessible, lockable, visible, load-break-disconnect switch at the Point of Interconnection.~~

(A) The Interconnection Requester is responsible for meeting all applicable codes and standards of Section 5.519 unless interconnection is accomplished by a certified equipment package under Section 5.518.

(B) Interconnection Agreement. Except in the case of Projects that are allowed under this Rule

to interconnect without a written agreement, upon completion of the necessary studies, if any, the Application ~~shall~~must be approved and the Interconnecting Utility ~~shall~~must provide the Interconnection Requester with an executable Interconnection Agreement with necessary attachments within ~~5 business days for Fast Track Application, or 15 business days for all other Applications, following the determination that the Interconnection Requester wishes to proceed with the project and confirmation that the Interconnection Requester has agreed to pay the costs of all necessary System Upgrades, and to install Interconnection Facilities at the Interconnection Requester's expense. The Interconnection Requester shall return~~ the time limits identified in the portions of this Rule detailing the study processes used for the Project. The Interconnection Requester's Application will be deemed withdrawn and the Interconnection Requester will lose its Interconnection Queue position unless the Interconnection Requester returns the executed Interconnection Agreement ~~within one calendar~~ by the later of (1) three months after provision of the executable Interconnection Agreement or (2) 30 days after the issuance of the Certificate of Public Good for the Project, but in no case later than one year ~~or~~ after provision of the executable Interconnection ~~Requester's Application shall be deemed withdrawn and~~ Agreement. The Interconnection Requester ~~shall lose Interconnection Queue position. The Interconnection Requester~~ bears all risk if, during the period between completion of any studies and the return of the executed Interconnection Agreement, ~~(i)~~ network conditions change such that the ~~Studies'~~studies' results are no longer valid and the studies need to be revisited and updated at the Interconnection ~~Requester's~~Requester's cost ~~and (ii, or (2)~~ the cost estimate for System Upgrades and Interconnection Facilities is no longer valid, except to the extent that these changed circumstances are known or could reasonably have been foreseen by the Interconnecting Utility.

(C) The Interconnection Agreement must require that Voltage Ride Through capability, Frequency Ride Through capability, and Smart Inverter functionality comply with the standards required by this Rule. If mutually agreed upon by the Interconnecting Utility and Interconnection Requester, the Interconnection Agreement must require enhanced Voltage Ride Through, Frequency Ride Through, or other Smart Inverter functionality, consistent with the standards required by this Rule and with Good Utility Practice.

~~(C)~~(D) Reasonable Efforts. The Interconnecting Utility ~~shall~~must make reasonable efforts to meet all time frames provided in this Rule unless the Interconnecting Utility and the Interconnection Requester agree to a different schedule. If an Interconnecting Utility cannot meet a deadline provided ~~herein~~in this Rule, it ~~shall~~must notify the Interconnection Requester, explain the reason for the failure to meet the deadline, and provide an estimated time by which it will complete the applicable interconnection procedure. The Interconnecting Utility ~~shall~~must maintain records, subject to audit, of all ~~Generation Resource Project~~ Applications received, the times required to complete Application approvals and disapprovals, and justification for the actions taken on the Applications. If costs arise from delay despite reasonable efforts of the Interconnecting Utility, these costs ~~shall~~will be borne by the Interconnection Requester. If costs arise from delay resulting from a lack of reasonable efforts on the part of the Interconnecting Utility, such costs ~~shall~~will be borne by the Interconnecting Utility.

(E) Material Modifications not agreed to in writing by the Interconnecting Utility and the Interconnection Requester may be deemed by the Interconnecting Utility as a withdrawal of the Application, which will result in loss of queue position and will require submission of a new Application.

~~(D)~~(F) Dispute Resolution. If a dispute arises at any time during these procedures, either the Interconnection Requester or the Interconnecting Utility may seek immediate resolution by written petition to the Board Commission, with copies to the other party and the Vermont Department of Public Service, stating the issues in dispute. Pursuit of dispute resolution ~~shall~~will not affect an Interconnection ~~Requester's~~Requester's Application with regard to consideration for interconnection, nor its position in an Interconnection Queue.

~~(E)~~(G) Interconnection Metering. Any metering necessitated by the interconnection of the ~~Generation—Resource—shall~~Project must be installed at the Interconnection ~~Requester's~~Requester's expense in accordance with the Interconnecting ~~Utility's~~Utility's reasonable specifications.

~~(F)~~(H) Commissioning. Commissioning tests of an Interconnection ~~Requester's~~Requester's installed equipment ~~shall~~must be performed pursuant to applicable codes and standards as identified by the parties in the Interconnection Agreement. The Interconnecting Utility ~~shall~~must be given ~~10-business days'~~14 days' written notice, or as otherwise mutually agreed by the parties, of the tests and may have one or more of its representatives present to witness the commissioning tests. The Interconnecting Utility must electronically record the results of the commissioning tests, including the control settings, momentary cessation settings, Voltage and Frequency Ride Through settings, and the vintage of the applicable standards.

(I) One-Line Diagram. In the case of Projects with a Nameplate Rating greater than 150 kW, the Interconnection Requester must, within 30 days of the Project in-service date, supply to the Interconnecting Utility an “as built” one-line diagram of what was installed during the construction process. Such diagrams must be stamped by a professional engineer. Any deviation from the Application not previously approved by the Interconnecting Utility must be addressed pursuant to the Interconnection Agreement.

(J) Notification Before Exceeding Cost Estimate. For any study, Interconnection Facilities, or System Upgrades for which this Rule requires the Interconnection Requester to bear costs, the Interconnecting Utility must, before exceeding a previously provided cost estimate, promptly notify the Interconnection Requester if such costs are likely to exceed the previously provided estimate and must provide the Interconnection Requester with a revised total estimated cost for the study. The Interconnecting Utility must proceed with completing the study, Interconnection Facilities, or System Upgrades unless and until requested to cease processing the Application by the Interconnection Requester, in which case the Interconnection Requester is responsible for all such costs incurred to date and the Application will be deemed withdrawn.

(K) For those portions, if any, of the study fees for the Feasibility, System Impact, and Facilities

Studies that the Interconnecting Utility bills to the Interconnection Requester and for which the Interconnecting Utility could also recover in its rates, the Interconnecting Utility must book this income separately.

- (L) Where additional facilities, Interconnection Facilities, or System Upgrades are required to permit the interconnection of a Project, the Interconnection Requester must bear the entire cost of such facilities. Within 42 days of final collection of all material, labor, contractor, permitting, and other costs incurred by the Interconnecting Utility in constructing, testing, and commissioning the Interconnection Facilities and System Upgrades, the Interconnecting Utility must provide the Interconnection Requester with an invoice. The Interconnection Requester must pay all such costs that exceed the deposit within 42 days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the invoiced costs, the Interconnecting Utility must return such excess, without interest, within 42 days of receipt of the invoice or resolution of any dispute.
- (M) Market Participation. As part of the Application, the Interconnecting Requester must notify the Interconnecting Utility whether the Project will be participating in the wholesale electricity markets, including whether the Project will be aggregated with other resources to participate. If market participation or use cases change after a Project has completed studies or received an Interconnection Agreement, the Interconnecting Utility must be notified and additional review may be required.
- (N) Transmission-level studies. In the case of Projects with a Nameplate Rating greater than 1 MW, the Interconnecting Utility must coordinate with VELCO to determine whether the aggregate amount of Projects has reached the saturation level requiring a transmission-level cluster study. In the event such studies are needed, the Interconnecting Utility will notify the affected Interconnection Requesters. Those Projects included in the cluster study will follow the study process laid out in this Rule, except that the scope of the System Impact Study will include transmission-level studies as directed by ISO-NE. Typically, such studies will include steady state, short circuit, stability, and PSCAD studies.

5.517 Cost Responsibility and Cost Reconciliation

- (A) Costs of facilities and cost responsibility. Where additional facilities, Interconnection Facilities, or System Upgrades are required to permit the interconnection of a Project, the Interconnecting Utility must provide a detailed, good-faith estimate of the costs, and the Interconnection Requester must pay the full amount of the estimate or, if such costs are covered by an Interconnection, Line Extension, or other tariff, said charges must be billed and paid pursuant to the tariff.
- (B) Within 21 days of submittal of a study report, the Interconnecting Utility must provide to the Interconnection Requester an invoice that includes a breakdown of the actual cost to perform the study. The Interconnection Requester must pay the full cost of the study. The Interconnecting Utility must base all study fees on actual costs, which include, but are not limited to, salaries, overheads, and out-of-pocket costs, including costs billed by other entities for new studies or portions thereof that the Interconnecting Utility does not itself

perform. If the cost of the study exceeds the deposit, the Interconnection Requester must pay the invoiced amount (cost of the study minus the deposit), without interest, within 30 days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the cost of the study, the Interconnecting Utility must refund such excess, without interest, within 21 days of submittal of the study report.

- (C) Costs of transmission cluster studies. Where additional transmission-level cluster studies are required to determine any aggregate transmission impacts, the Interconnecting Utility must provide the Interconnection Requester with a detailed, good-faith estimate of the study costs. The Interconnection Requester must pay the full amount of the estimate or, if such costs are covered by an Interconnection Agreement or other tariff, said charges will be billed and paid pursuant to the tariff.

5.518 Disconnection

- (A) The following requirements ~~shall~~ govern the disconnection from the electrical system of a ~~Generation-ResourceProject~~ that was interconnected under these procedures. These requirements apply to such ~~Generation-ResourcesProjects~~ only and do not supplant ~~BoardCommission~~ Rules 3.300 and 3.400 relating to utility disconnection in general.
- (B) The Interconnection Requester retains the option to disconnect temporarily from the Interconnecting ~~Utility's~~Utility's system at any time. Such temporary disconnection ~~shall~~is not ~~be~~ a termination of any Interconnection Agreement unless the Interconnection Requester exercises its termination rights under such agreement.
- (C) In the event an Interconnecting Utility needs to perform an Emergency disconnection of a ~~Generation-ResourceProject~~, the Interconnecting Utility ~~shall~~must notify the Interconnection Requester within 24 hours after the disconnection.
- (1) If the Emergency is not caused by the ~~Generation-ResourceProject~~, the Interconnecting Utility ~~shall~~must assist the Interconnection Requester with reconnecting the ~~Generation-ResourceProject~~ upon cessation of the Emergency.
- (2) If the Emergency is caused by the ~~Generation-ResourceProject~~, the Interconnecting Utility ~~shall~~must communicate the nature of the problem to the Interconnection Requester within 5 days; and must work with the Interconnection Requester to resolve the problem. If the problem has not been resolved within 30 days of an Emergency disconnection, the Interconnecting Utility ~~shall~~must file a disconnection petition with the ~~BoardCommission~~. In any proceeding on such a petition, the Interconnecting Utility ~~shall-bear~~bears the burden of proof to demonstrate the reasonableness of disconnection.
- (D) Non-Emergency disconnections ~~shall~~must follow the same procedure as Emergency disconnections outlined above, except that the Interconnecting Utility ~~shall~~must give written notice of the disconnection no earlier than 10 days and no later than 7 days ~~prior to~~before the first date on which disconnection of the ~~Generation-ResourceProject~~ may

occur. Such notice ~~shall~~must communicate the reason for disconnection to the Interconnection Requester and the expected duration of the disconnection. An Interconnecting Utility may obtain, at the discretion of the Interconnection Requester, an Interconnection ~~Requester's~~Requester's written agreement to notice requirements for non-Emergency disconnections ~~which~~that are different from those set forth in these procedures, provided that the Interconnecting Utility first advises the Interconnection Requester of its rights under this Rule.

- (E) An Interconnection Requester whose ~~Generation—Resource~~Project is involuntarily disconnected may file a complaint with the ~~Board~~Commission at any time following disconnection. The ~~Board~~Commission may hold a hearing to determine whether the ~~Generation—Resource~~Project should be reconnected to the Interconnecting Utility. In the event of the filing of such a complaint, the Interconnecting Utility ~~shall bear~~bears the burden of proof to demonstrate the reasonableness of disconnection.
- (F) ~~Codes and Standards.~~ A Project may be disconnected for exceeding the Export Capacity applied for and studied during the interconnection process, if such excess Export Capacity is not remedied within a reasonable time, after notice of such excess export is given by the Interconnecting Utility.

5.519 Certification of Project Equipment Packages

- (A) A Project equipment package will be considered certified for interconnected operation to an electric power distribution system if it has been approved under the certification process described below.
- (B) An equipment package will be considered certified for interconnected operation if it has been submitted, tested, and listed by a nationally recognized testing and certification laboratory or approved by the U.S. Department of Energy for continuous utility interactive operation in compliance with the applicable Codes and Standards listed in Section 5.519, below. An “equipment package” includes all interface components, including switchgear, inverters, or other interface devices, and may include an integrated Project. If the equipment package has been tested and listed as an integrated package that includes a Generation Resource, it does not require further design review, testing, or additional equipment to meet the certification requirements. If the equipment package includes only the interface components (switchgear, inverters, or other interface devices), then an Interconnection Requester must demonstrate to the Interconnecting Utility that the Generation Resource being utilized with the equipment package is compatible with the equipment package and consistent with the testing and listing specified for the package. If the Generation Resource combined with the equipment package is consistent with the testing and listing performed by the nationally recognized testing and certification laboratory, no further design review, testing, or additional equipment will be required to meet the certification requirements. A certified equipment package does not include equipment provided by the Interconnecting Utility, nor does certification necessarily exempt an equipment package or Generation Resource from commissioning testing

required for installation and operation.

5.520 Codes and Standards

When any listed version of the following codes and standards is superseded by a revision approved by the standards-making organization, then the revision ~~shall~~will be applied ~~under Section 5.505, where these codes and standards are referenced in this Rule.~~ Applications that are date-and-time-stamped on or before six months after the revision date may follow the previous version of the standard, unless an immediate threat to safety and reliability exists that requires the retrofit of all similarly situated equipment. Applications that are date-and-time-stamped later than six months after the revision date must follow the revised standard.

- (A) ~~(A)~~—IEEE ~~P1547—Standard~~1547 Series of Standards for Interconnecting Distributed Resources with Electric Power Systems as adopted ~~and successor or related IEEE approved standards.~~
- (B) ~~(B)~~—UL 1741 Inverters, Converters, and Controllers for Use in Independent Power Systems.
- ~~(C)—IEEE Standard 929-2000 IEEE Recommended Practice for Utility Interface of Photovoltaic (PV) Systems.~~
- (C) ~~(D)~~—~~NFPA~~NFPA 70 ~~(2002)~~-National Electrical Code.
- (D) ~~(E)~~—IEEE Standard C37.90.1-~~1989 (R1994)~~ IEEE Standard Surge Withstand Capability (SWC) Tests for Protective Relays and Relay Systems.
- (E) ~~(F)~~—IEEE Standard C37.90.2-~~(1995)~~ IEEE Standard Withstand Capability of Relay Systems to Radiated Electromagnetic Interference from Transceivers.
- (F) ~~(G)~~—IEEE Standard C37.108-~~1989 (R2002)~~ IEEE Guide for the Protection of Network Transformers.
- (G) ~~(H)~~—IEEE Standard C57.12.44-~~2000~~ IEEE Standard Requirements for Secondary Network Protectors.
- (H) ~~(I)~~—IEEE Standard C62.41.2-~~2002~~ IEEE Recommended Practice on Characterization of Surges in Low Voltage (1000V and Less) AC Power Circuits.
- (I) ~~(J)~~—IEEE Standard C62.45-~~1992 (R2002)~~ IEEE Recommended Practice on Surge Testing for Equipment Connected to Low-Voltage (1000V and Less) AC Power Circuits.
- (J) ~~(K)~~—ANSI C84.1-~~1995~~ Electric Power Systems and Equipment — Voltage

Ratings (60 Hertz);

- (K) ~~(L)~~ — IEEE Standard 100-2000 IEEE Standard Dictionary of Electrical and Electronic Terms.
- (L) ~~(M)~~ — NEMA MG 1-1998, Motors and Small Resources, ~~Revision 3~~;
- (M) ~~(N)~~ — IEEE Standard 519-1992 IEEE Recommended Practices and Requirements for Harmonic Control in Electrical Power Systems;

5.511— Certification of Generation Resource Equipment Packages

~~(A) — A Generation Resource equipment package shall be considered certified for interconnected operation to an electric power distribution system if it has been approved under the certification process described below.~~

~~(B) — An equipment package shall be considered certified for interconnected operation if it has been submitted, tested and listed by a nationally recognized testing and certification laboratory or approved by the U.S. Department of Energy for continuous utility interactive operation in compliance with the applicable Codes and Standards listed in Section 5.510, above. An "equipment package" shall include all interface components including switchgear, inverters, or other interface devices and may include an integrated Generation Resource. If the equipment package has been tested and listed as an integrated package which includes a Generation Resource, it shall not require further design review, testing or additional equipment to meet the certification requirements. If the equipment package includes only the interface components (switchgear, inverters, or other interface devices), then an Interconnection Requester must demonstrate to the Interconnecting Utility that the Generation Resource being utilized with the equipment package is compatible with the equipment package and consistent with the testing and listing specified for the package. If the Generation Resource combined with the equipment package is consistent with the testing and listing performed by the nationally recognized testing and certification laboratory, no further design review, testing or additional equipment shall be required to meet the certification requirements. A certified equipment package does not include equipment provided by the Interconnecting Utility, nor does certification necessarily exempt an equipment package or Generation Resource from commissioning testing required for installation and operation.~~

(N) IEEE Standard 1453 IEEE Recommended Practice--Adoption of IEC 61000-4-15:2010, Electromagnetic compatibility (EMC)--Testing and Measurement Techniques--Flickermeter--Functional and Design Specifications;

(O) IEEE Standard 1453.1-2012 IEEE Adoption of IEC TR 61000-3-7 2008 Assessment of emission limits for the connection of fluctuating installations to MV, HV, and EHV power systems; and

(P) Any other code or standard ordered by the Commission.

5.521 Communications Protocols

The Commission may adopt by order inverter settings and other controls related to communications protocols that will facilitate communication between Projects and Interconnecting Utilities and Transmission Utilities.

5.522 Limited-Export and Non-Exporting Projects

If a Project uses any configuration or operating mode listed below to limit the export of electrical power across the Point of Interconnection, then the Export Capacity is only the amount capable of being exported (not including any Inadvertent Export). To prevent impacts on system safety and reliability, any Inadvertent Export from a Project must comply with the limits in paragraphs (5) or (6), below. The Export Capacity specified in the Application will subsequently be included as a limitation in the Interconnection Agreement. Other means not listed in this section may be used to limit export if mutually agreed upon by the Interconnecting Utility and Applicant.

- (1) Reverse Power Protection: To limit export of power across the Point of Interconnection, a reverse power protective function may be provided. The default setting for this protective function is 0.1% (export) of the service transformer's rating, with a maximum 2.0 second time delay to limit Inadvertent Export.
- (2) Minimum Power Protection: To limit export of power across the Point of Interconnection, an under-power protective function may be provided. The default setting for this protective function is 5% (import) of the Project total Nameplate Rating, with a maximum 2.0 second time delay to limit Inadvertent Export.
- (3) Directional Power Protection: To limit export of power across the Point of Interconnection, a directional power protective function is implemented using a utility grade protective relay. The default setting for this protective function is the Export Capacity value with a maximum 2.0 second time delay to limit Inadvertent Export.
- (4) Relative Distributed Energy Resource Rating: This option requires the Nameplate Rating of the Project, minus any auxiliary load, to be so small in comparison to its host facility's minimum load that the use of additional protective functions is not required to ensure that power will not be exported to the electric delivery system. This option requires the Project capacity to be no greater than 50% of the Interconnection Requester's verifiable minimum host load over the past 12 months. This option is not available for interconnections to area networks or spot networks.
- (5) Configured Power Rating: A reduced output rating utilizing the power rating configuration setting may be used to ensure the Project does not generate power

beyond a certain value lower than the Nameplate Rating.¹

- (6) Certified Power Control Systems: Projects may use certified power control systems to limit export. Projects using this option must use a power control system and inverter certified per UL 1741 by a Nationally Recognized Testing Laboratory (“NRTL”) with a maximum open loop response time of no more than 30 seconds. NRTL testing to the UL power control system certification requirements decision must be accepted until similar test procedures for power control systems are included in a standard. This option is not available for interconnections to area networks or spot networks.
- (7) Limited Export Using Mutually Agreed-Upon Means: Projects may be designed with other control systems and/or protective functions to limit export and Inadvertent Export to levels mutually agreed upon by the Interconnection Requester and the Interconnecting Utility. The limits may be based on technical limitations of the Interconnection Requester’s equipment or the electric delivery system equipment. To ensure Inadvertent Export remains within mutually agreed-upon limits, the Interconnection Requester must use an internal transfer relay, energy management system, or other customer facility hardware or software. This can also include the utilization of a paired system such as solar and storage to limit the maximum AC output from the site at any given time through charging of the Energy Storage Device at key times.

¹ The configuration setting corresponds to the active or apparent power ratings in Table 28 of IEEE 1547 – 2018, as described in subclause 10.4. A local Project communication interface is not required to utilize the configuration setting as long as it can be set by other means.